

**Application**



# ROUND TABLE FINANCIAL

Phone: 949-506-1515 Fax: 949-524-8599

### BUSINESS INFORMATION

In order to expedite the approval process, please print or write clearly and fill application completely. Additional information will be required prior to funding.

Business Name: \_\_\_\_\_ Phone: \_\_\_\_\_  
 Doing Business As (Other Trade Name(s)): \_\_\_\_\_ Fax: \_\_\_\_\_  
 Street Address: \_\_\_\_\_  
 City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_ County: \_\_\_\_\_  
 Web Address: \_\_\_\_\_ Email Address: \_\_\_\_\_  
 Date Business Established: \_\_\_\_\_ Legal Business Status:  Sole Proprietor  Partnership  Corporation  LLC.  
 Type and description of Business: \_\_\_\_\_ Number of Employees: \_\_\_\_\_  
 Federal ID Number: \_\_\_\_\_ Federal or State Taxes Past Due ?  Yes  No Tax lien filed?  Yes  No  
 If yes to any above, what type/amount: \_\_\_\_\_

### PRINCIPALS, OWNERS, PARTNERS & OFFICERS

Please list any additional officers under Notes section

Name: _____ Title: _____	Name: _____ Title: _____
Percent Owned: _____ Date of Birth: _____	Percent Owned: _____ Date of Birth: _____
Driver's License #: _____ State: _____	Driver's License #: _____ State: _____
Social Security Number: _____	Social Security Number: _____
Home Street Address: _____	Home Street Address: _____
City: _____ State: _____ Zip: _____	City: _____ State: _____ Zip: _____
Home Phone: _____ Cell Phone: _____	Home Phone: _____ Cell Phone: _____
Email Address: _____	Email Address: _____

### BANKING INFORMATION

Bank Name: \_\_\_\_\_  
 City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_  
 Phone: \_\_\_\_\_ Fax: \_\_\_\_\_  
 Checking Account #: \_\_\_\_\_  
 Any Commercial Loans Outstanding?  Yes  No  
 Amount: \$ \_\_\_\_\_ Loan Account #: \_\_\_\_\_  
 Collateral Pledged: \_\_\_\_\_  
 Bank Officer: \_\_\_\_\_ Phone: \_\_\_\_\_

### ACCOUNTS RECEIVABLE INFORMATION

Anticipated monthly factoring volume: \$ \_\_\_\_\_  
 Current account balance outstanding: \$ \_\_\_\_\_  
 Have you factored before?  Yes  No  
 If yes, with whom? \_\_\_\_\_  
 How did you hear about Round Table Financial? \_\_\_\_\_  
 What's the purpose of funds? \_\_\_\_\_  
 Additional Notes: \_\_\_\_\_

### SUPPORT DOCUMENTS CHECKLIST

In order to appropriately evaluate and process your application, please include applicable support documents with your completed application.

- |   |   |
|---|---|
| <input type="checkbox"/> Articles of Incorporation or Assume Name Certificate                 | <input type="checkbox"/> Copy of Contract(s) or Purchase Order(s)                   |
| <input type="checkbox"/> Copy of Applicant(s) Driver's License(s) and Social Security Card(s) | <input type="checkbox"/> Copy of Operating Authority (MC# _____) (Trucking)         |
| <input type="checkbox"/> Accounts Receivable Aging and Invoices                               | <input type="checkbox"/> Worker's Compensation Insurance (Temporary Staffing Firms) |
| <input type="checkbox"/> Copy of Business/Liability Insurance                                 | <input type="checkbox"/> List of all jobs currently working on (Construction)       |
| <input type="checkbox"/> Customer List  | <input type="checkbox"/> Federal Tax Identification # / W-9                         |

### SIGNATURE & AUTHORIZATION

I/We understand that submission of this application does not obligate Round Table Financial to provide any financial services whatsoever. I/We further acknowledge that approval to fund may come only after the Board of Directors of Round Table Financial approves said application and the invoices/accounts offered, in accordance with the terms of Round Table Financial's Security Agreement. The above statements are true and correct to the best of my knowledge and belief. This serves as my permission for the release of any information regarding this application for the purpose of credit investigation to Round Table Financial and or its designees or assignees.

Signed: \_\_\_\_\_ Date: \_\_\_\_\_ Print Name: \_\_\_\_\_ Title: \_\_\_\_\_  
 Signed: \_\_\_\_\_ Date: \_\_\_\_\_ Print Name: \_\_\_\_\_ Title: \_\_\_\_\_



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## CLIENT-FOCUSED QUESTIONS

Your response to the following questions will help us to appropriately assess your needs and provide customize solutions.

1. What was your total sale last year? \$ \_\_\_\_\_
2. What is your average profit margin on each sale? \_\_\_\_\_ % average cost? \_\_\_\_\_ %
3. How much do you have in total outstanding Accounts Receivables? \$ \_\_\_\_\_
4. What is your average customer pay period or term? \_\_\_\_\_
5. Given the available and increased level of cash flow you will have, how much more in percentages could you increase your business? \_\_\_\_\_ %
6. What would be the main purpose of the funds? \_\_\_\_\_  
\_\_\_\_\_
7. What is/are the most important thing(s) to you in choosing a lender or financier? \_\_\_\_\_  
\_\_\_\_\_
8. Are you willing to work with an investor outside of your local community? \_\_\_\_\_  
\_\_\_\_\_
9. Are you willing to sign a personal guarantee or an oath against committing fraud? \_\_\_\_\_  
\_\_\_\_\_
10. Have you or any owner(s) being convicted of a felony, sued, or filed for bankruptcy? \_\_\_\_\_  
\_\_\_\_\_
11. What minimum \$ \_\_\_\_\_ and maximum \$ \_\_\_\_\_ per day/week/month/ or year (*circle one*) total would you fund?
12. How would you like to receive the funds? By Check, Bank Transfer or Other, *please specify*: \_\_\_\_\_  
\_\_\_\_\_
13. Have you factored under your present or any other names(s)? \_\_\_\_\_ If yes, *please give name(s)*: \_\_\_\_\_  
\_\_\_\_\_

Signed: \_\_\_\_\_ Date: \_\_\_\_\_ Print Name: \_\_\_\_\_ Phone: \_\_\_\_\_

Your response will be held in strict confidence. If you have any questions, please call:  
**949-506-1515 Ext. 160**

610 Newport Center Drive, Suite 1250, Newport Beach, CA 92660  
Phone: 949-506-1515 ♦ Fax: 949-524-8599  
Web site: <http://www.rtfincialgroup.com> ♦ Email: [lt@rtfinancialgroup.com](mailto:lt@rtfinancialgroup.com)